Project Title: Innovation of photonic and electrochemical biosensors for cholangiocarcinoma diagnosis

Background :

Cholangiocarcinoma (CCA) is prevalent in the Greater Mekong Subregion, especially in northeastern Thailand and Lao PDR, where the liver fluke *Opisthorchis viverrini* is endemic. Most patients with CCA are diagnosed at an advanced stage, resulting in limited survival times post-surgery due to the absence of reliable biomarkers. Currently, CCA diagnosis relies on radiological and histopathological techniques, which are both expensive and time-consuming. Our research has identified numerous biomarkers for CCA in animal models, which have been successfully adapted for clinical applications in patients. The emergence of biosensor platforms, utilizing photonic and electrochemical detection technologies, offers a significant advantage due to their high integration capabilities. This advancement facilitates the transition of these tools from research laboratories to practical use in analytical chemistry and clinical medicine, allowing large-scale detection across various sample types, including urine, feces, and serum. We hypothesize that the deployment of these biosensor platforms for detecting trace levels of CCA biomarkers in animal models has the potential to be translated effectively into clinical applications for patient diagnosis.

Targets:

To develop photonic and electrochemical biosensors for cholangiocarcinoma diagnosis.

Speaker:

Dr. Somchai Pinlaor, Dr. Chavis Srichan, Dr. Pobporn Danvirutai

Project Title: Innovation of photonic and electrochemical biosensors for cholangiocarcinoma diagnosis

#### Project Members :



IVO

KKU (THA)



TTAPHAB HOSPITAL

CMU (THA)

TMEC

BIOTECH

NECTEC Mittaphab Hospital (LAO)

NICT (JPN)

: Somchai Pinlaor, Chavis Srichan, Pobporn Danvirutai,

Kitti Intuyod, Apisit Chaidee, Sirinapha Klungsaeng

- : Ukrit Mankong, Suruk Udomsom
- : Nithi Atthi
- : <u>Sittiruk Roytrakul</u>, Janthima Jaresitthikunchai, Narumon Phaonakrop
- : Noppadon Nuntawong, Mati Horprathum, Pitak Eiamchai, Saksorn Limwichean
- : Champadeng Vongdala, Keooudone Thammavong
- : Toshimasa Umezawa, Atsushi Matsumoto, Kouichi Akahane

#### Project Duration :

24 months

First Year  $\ :$  May  $1^{st}$  , 2023 to April  $30^{th}$  , 2024 Second Year: May  $1^{st}$  , 2024 to April  $30^{th}$  , 2025

#### Project Budget:

80,000 USD

First Year : 40,000 USD Second Year: 40,000 USD





คณะแพทยศาสตร์ มหาวิทยาลัยงอนแก่น FACULTY OF MEDICINE KHON KAEN UNIVERSITY





#### Old hypothesis



Modified from: Sripa et al., 2012, Trends in Parasitology

Fig.1 OV-induced inflammation, fibrosis, advanced fibrosis, and contribution risk to CCA. Thus, radiology such as MRI & Ultrasound are used for CCA screening.



Fig.2 OV-induced chronic inflammation, precancerous lesion and CCA development

#### New hypothesis



**Fig.3 Schematic diagram illustration of the scope of the study in animal model.** The early marker for CCA diagnosis was discovered in hamster model and apply in the patients. The step include 1) proteomic analysis for protein identification, 2) Raman spectroscopy based on based line peak, SERS from NECTEC and SERS from EN-KKU, and 3) histopathological study by staining with hematoxylin-eosin (H&E), cytokeratin-19 (CK-19) and alpha-fetoprotein (AFP).



### **Project Activities: MDKKU-NECTEC**



**Fig.4** CCA development is classified into inflammation, pre-cancerous lesion and tumor lesion according to histopathological changes



**Fig.5 Comparison among principle components analysis (PCA) results of Raman spectra** of four classes of normal, inflammation, pre-cancerous and tumor. However, Raman intensities couldn't distinguish between pre-cancerous and CCA groups based on classical machine (ML) algorithm.



**Fig.6 Comparison among Raman peak based on classical machine (ML) algorithm** of four classes based on normal, inflammation, pre-cancerous and tumor features.

•		precision	recall	fl-score	support
	Group 1-N	0.61	0.84	0.71	231
	Group 2-I	0.40	0.07	0.11	61
	Group 3-P	0.61	0.51	0.56	210
	Group 4-C	0.65	0.65	0.65	220
•	accuracy			0.62	722
•	macro avg	0.57	0.52	0.51	722
•	weighted avg	0.61	0.62	0.60	722

SERS couple with PCA couldn't distinguish precancerous-tumor lesion and yield accuracy of test 62%.

#### November 7, 2024 at Phnom Penh



### Project Activities: MDKKU-ENKKU



Fig.7 Different staging of CCA development was established.





**Fig.10 ROC curves analysis the performance of various classification models.** The DWT + 2D CNN model achieved an AUC of 0.95, which gave the highest accuracy performance for CCA diagnosis compared to the other models.



**Fig.11** Confusion matrices of each signal processing and machine learning methods by 2D CNN.

Method	Accuracy (%)	Sensitivity (Recall) (%)	Specificity (%)	F1 Score (%)
DWT + 2D CNN	95.10	95.08	98.36	95.10
DWT + 1D CNN	92.00	91.50	96.00	91.75
PCA + 1D CNN	88.00	87.50	94.00	87.75
LDA + SVM	84.50	84.00	90.50	84.25
PCA + SVM	82.40	82.00	88.40	82.20

Accuracy 95%, sensitivity 95%. Specificity 96%

Raman spectrometry coupled with machine learning, especially, 2D CNN, could distinguish stage-specific for CCA development for normal, inflammation, pre-cancerous and tumor, but doesn't by classical machine learning of Raman intensity. Integrative SERS with 2D CNN explores high accuracy performance for early CCA diagnosis, which can apply for a mobile application use.

Fig.9 Raman peak identifies the different staging of inflammation, precancerous (Pre-CA) and CCA lesions

#### November 7, 2024 at Phnom Penh

Raman shift (cm<sup>-1</sup>

# **ENKKU:** Biosensing platform based on SERS

1. Biosensing platform development based on Raman spectroscopy techniques

Surface Enhance Raman Spectroscopic (SERS) chip development



SERS chip using (a) 3D microporous graphene (Srichan *et al.*, 2016) (b) Silver nanorods fabricated by glancing angle method (Botta *et al.*, 2018) (c) electrochemically synthesized silver nanowires (Fang *et al.*, 2012) (d) Hot spot model as one of the mechanisms behind Raman signal enhancement (Radziuk & Moehwald, 2015).

(a) Raman peak selection for a specific analyte (Srichan *et al.*, 2016), (b) designed portable SERSbased sensor for early CCA detection (this proposal).

## **Preliminary Results**

- In literature we sampling peaks before processing SERS signal
- We propose novel technique that use whole spectrum with multiresolution compression and CNNs





### Project Activities: ENKKU: Biosensing platform based on SERS

Biosensing platform development based on Raman spectroscopy techniques

Surface Enhance Raman Spectroscopic (SERS) chip development

Part I: Synthesis of AuNPs and novel techniques to pair nanoparticles to yield greatest enhancement

Received (see page20) 1 Software license 2 (Tier 1) Paper under intensive peer review [ Nature Scientific Reports] 3. (Scopus-index) Conference paper to appear in IEEEXplore



+ carried out characterization on TEMs, SEM, processing technique, etc. (Figures will be licensed under publisher)



Project Activities: MDKKU-BIOTECH

Proteomics discovery Olf4, SMC2, B4GALT4 for CCA diagnosis





Project activities: MDKKU; Sample collection, identification and evaluation of biomarker in CCA patients



Determination of  $\beta$ -HCG levels in human serum. Serum  $\beta$ -HCG levels in healthy (N=60), Bening biliary disease (N=52), and CCA patient (N=100) were determined using electrochemiluminescence immunoassay. \* P<0.05 compared with healthy group. #P<0.05 compared with BBD group.

Immunohistochemical staining for  $\beta$ -hCG in cholangiocarcinoma and adjacent tissues. Staining pattern of the TMA section (A). Immunoreactive positive area (%) in cancerous tissues was higher than in adjacent tissues (B). Images showing  $\beta$ -hCG staining in CCA (C), and adjacent tissues (D). \*\* P<0.001 compared with adjacent tissue. Raman peak separates serum CCA from the patients from normal serum by Raman spectroscopy. Upper line is CCA, and under line is normal.



#### **Project Activity :** Identification and evaluation of biomarker in CCA patients



Figure 8. ROC analysis results when combined HCG, AFP, CEA and CA19-9

ASEAN IVO

# Project Activities: MDKKU, ENKKU, NECTEC, BIOTECH

Budget usage for the 1 <sup>st</sup> year 🗸	COMPLETED					
Activities	Budget					
Hamsters' CCA setting, samples collection and histopathological confirmation	4,000 USD					
Discovery of Raman peak for CCA diagnosis	3,000 USD					
Patients sample collection	2,500 USD					
Identification & evaluation of biomarker in CCA patients: training set	3,500 USD					
Verification of electrochemical sensor for CCA diagnosis: verification set	3,000 USD					
SERS development: Synthesis	4,000 USD					
SERS development: Characterization	1,000 USD					
Total	21,00 USD					

Budget usage for the		
Activities	Budget	INPROGRESS
Sample collection and providing standard protein & antibody for CMUs' team	4,000 USD	
Proteins panel discovery and identification	7,500 USD	
Raman spectrum identification	5,000 USD	
Construct AI & Machine learning model	2,000 USD	
Transfer technology of SERS and AI for CCA diagnosis to Laos' team	2,500 USD	
KKU facilities services	2,100 USD	
Total	21,000 USD	

Overview of research objectives and activities of CMU CMU: Biosensing platform based on silicon photonic development

# S.F.

Biomedical Engineering Institute Chiang Mai University

### **Activities in ASEAN IVO 2023**

 Sensor device design investigation specifically for the detection of CCA biomarker (s)
 Simulation of silicon nitride biosensor devices

#### (Year 1) Key elements demonstrated in laboratory

Budget usage	Budget
Survey report of the photonic sensor technologies that are applicable to cholangiocarcinoma detection.	\$3000
investigation of new photonic sensor design and simulation results using numerical based simulation.	\$3000
Computer-aided design (CAD) drawing of silicon photonic sensor mask design	\$1500

# COMPLETED



PENDING/ INPROGRESS

#### **Activities in ASEAN IVO 2024**

- 1. Prototype sensor system development
- 2. Sensing experiment using samples provided by KKU or Laos
  - Detection of new CCA biomarkers from KKU
  - Comparison with conventional method

(Year 2) Device manufacturing & performance assessment using standard testing method

Budget usage	Budget	
Photonic (resonator) sensor devices and a prototype of the measuring system	\$7000	بر
Project workshop and meeting	\$3500	<b>بر</b>



#### 2024 PROGRESS CMU: Biosensing platform based on silicon photonic development



Biomedical Engineering Institute





# Biosensing potable platform for clinical sample of Laos CCA patients in various specimens

Collect and verify CCA samples: urine, serum





Budget usage	Budget
Collection of various samples from the patients: healthy, CCA, HCC, and other cancers; materials, volunteer compensation, physician, surgeon, etc.,	\$2500
Identification & evaluation of biomarker in CCA patients: training set	\$1500
Total	\$4000

Budget plan for year 2



### Project Activities: Workshop on Nov 13-16, 2023 at Vientiane, LAO PDR









ASEAN IVO

# Project Activities: Workshop on June 20-21, 2024 at KKU, Thailand











November 7, 2024 at Phnom Penh

то



# R&D results: CMU

CMU: Designed and developed photonic sensor device for CCA diagnosis.



Sensing results: Laboratory proof of concept of the prototype

Next step CCA biomarker test



## Scientific Contribution:

#### Presentations at International Conferences:

No:	Paper title:	Author names Affiliation		Conference name:	Conference The date of the name: conference		
1	Early diagnosis of cholangiocarcinoma using surface-enhanced Raman spectroscopy combined with AI in a hamster model	Somchai Pinlaor a,b, Chavis Srichanc, Pobporn Danvirutaid, Kitti Intuyodb,e, Apisit Chaideea,b, Chawalit Pairojkuleb,e, Thatsanapong Pongking b,f, Suppakrit Kongsintaweesuk b,f, Mati Horprathumg, Pitak Eiamchaig, Saksorn Limwicheang, Noppadon Nuntawongg	<sup>a</sup> Department of Parasitology, Faculty of Medicine, and <sup>b</sup> Cholangiocarcinoma Research Institute, <sup>c</sup> Faculty of Engineering, <sup>d</sup> College of Computing, <sup>e</sup> Department of Pathology, Faculty of Medicine, <sup>f</sup> Biomedical Sciences Program, Khon Kaen University, Khon Kaen 40002, Thailand. <sup>e</sup> National Electronics and Computer Technology Center (NECTEC), National Science and Technology Development Agency (NSTDA), Pathum Thani, Thailand	the 83rd Annual Meeting of the Japanese Cancer Association (JCA2024)	September 19 (Thu.) - 21 (Sat.), 2024	Fukuoka, Japan	

#### Published Journal Papers:

No:	Paper title:	Author names Affiliation		Journal name:	The publisher of the Journal	The volume number and Pages
1	Early Detection of Cholangiocarcinoma via Surface-Enhanced Raman Scattering using 2D Convolutional Neural Network in Point-of-Care Mobile Application	Chavis Srichan1,2, Thatsanapong Pongking3, Suppakrit Kongsintaweesuk3, Sartra Wongthanavasu4, Somchai Pinlaor3,**, Pobporn Danvirutai4,*	1Department of Computer Engineering, Faculty of Engineering, Khon Kaen University, Khon Kaen 40002, Thailand. 2Department of Biomedical Engineering, Faculty of Engineering, Khon Kaen University, Khon Kaen 40002, Thailand. 3Department of Parasitology, Faculty of Medicine, Khon Kaen University, Khon Kaen 40002, Thailand. 4College of Computing, Khon Kaen University, Khon Kaen 40002, Thailand.	Scientific Reports	Nature, Springer	Under review

November 7, 2024 at Phnom Penh



### Scientific Contribution:

#### Poster presentation on JCA 2024



#### Manuscript submitted



#### Your submission

Title

Highly-accurate and robust early-stage detection of cholangiocarcinoma using near-lossless SERS signal processing with machine learning and 2D CNN for pointof-care mobile application

Туре

Article

Journal

Scientific Reports

Submission ID 39df7120-2fb1-4a14-ab82-61d7a75a76c9



ทะเบียนข้อมูลเลขที่ ว1.011364 คำขอแจ้งข้อมูลเลขที่ 446105



d' ลงที่ร

(นางสาวศีริวรรณ นพรัก) นักวิชาการพาณิชย์ปฏิบัติการ ปฏิบัติราชการแทนผู้อำนวยการกองลิขสิทธิ์

<u>หมายเหตุ</u> เอกสารนี้มีได้รับรองความเป็นเจ้าของลิขสิทธิ์ ในกรณีมีข้อพิพาทศาลจะเป็นผู้วินิจฉัยชี้ขาดความเป็นเจ้าของลิขสิทธิ์

#### **ASEAN IVO Project Review 2024**

Signed by DIP-CA



- 1. Provided proteomics data: The accession numbers is ongoing to deposit for ProteomeXchange and JPST003398 for jPOST. Preview.
- 2. Submitted manuscript on Scientific Reports (under reviewed)
- International conference on The 83<sup>rd</sup> Annual Meeting of the Japanese Cancer Association (JCA)-, on September 19-21, 2024 at Fukuoka, Japan
- 4. Manuscript preparation on: INPROGRESS
  - 1. Proteomics identified candidate markers for CCA diagnosis
  - 2. beta-HCG marks for CCA diagnosis
  - 3. Incidence of liver cancer in Lao PDR



Future works:

Teams	Future works
MDKKU ENKKU BIOTECH NECTEC	<ul> <li>Sample collection and Std protein &amp; ab for CMUs' team</li> <li>Proteins panel discovery</li> <li>Raman spectrum identification</li> <li>Construct AI &amp; Machine learning</li> <li>Transfer technology of SERS and AI for CCA diagnosis to Laos' team</li> </ul>
CMU TMEC	<ul> <li>Design and develop photonic sensor for CCA diagnosis</li> <li>Improve reproducibility of photonic sensor</li> </ul>
LAO	<ul> <li>Sample collection of CCA patients</li> <li>Evaluate sensor to detect CCA diagnosis</li> </ul>

# Activity and budget planning in the 2<sup>nd</sup> year

No	Activities	Budget (US)	Responsibility	Out put
1	<ol> <li>Sample collection and Std protein &amp; ab for CMUs' team</li> <li>Proteins panel discovery</li> <li>Raman spectrum identification</li> <li>Construct AI &amp; Machine learning</li> <li>Transfer technology of SERS and AI for CCA diagnosis to Laos' team</li> </ol>	21,000	KKU (MD & EN) BIOTECH NECTEC	<ul> <li>Samples of CCA, HCC, metastasis liver and healthy subjects collection,</li> <li>At least 1 publication</li> <li>1 patent/pretty patent</li> </ul>
2	Optical sensing development: equipment, materials, research exchange at NICT	7,000	CMU	-1 publication
3	Human sample & clinical data collection: Surgery team & pathology team for samples collection, biobank, histopathological study, freezer, equipment, etc.,	4,000	Laos	Team initiation, CCA sample & other cancer sample collection
4	Project meeting or workshop at the end of the 2 <sup>nd</sup> year	3,000	KKU for all team (CMU-KKU-Laos)	Meeting & conference: 1 session for biosensor for CCA diagnosis
5	Project meeting or workshop at the end of the 2 <sup>nd</sup> year	3,500	CMU for all team (CMU-KKU-Laos)	Meeting & conference: 1 session for biosensor for CCA diagnosis
6	Project meeting and report (estimate)	1,500	KKU team	Attend on Campodia, 6-7 Nov 2024
	Total	40,000		

# Two years time line schedule

Institution	Research activity		2023						2024																
		4	5	6	7	8	9	10	11	12	1	2	3	4	5	6	7	8	9	10	11	12	1	2	3
MD-KKU, NECTEC, BIOTECH	Supplies & Materials: Animal model setting, equipment, samples collection, Raman spectrometer finger print																								
EN-KKU,	SERS chip development : equipment & materials, SERS substrate synthesis and design of portable CCA specific SERS- based sensor, training with NICT																								
CMU	Optical sensing development: equipment, materials, training with NICT																								
MD-KKU	Surgery team & pathology team for samples collection, biobank, histopathological study, standard method confirmation by ELISA & WB																								
Lao PDR	Surgery & pathology team management, workshop, training, samples collection, prototype evaluation, team meeting and conference																								
MD-KKU, EN- KKU, CMU,BIOTECH, NECTEC, TMEC, Laos, NICT	Meeting and conference, transport, report, publication, biosensing prototype																								

November 7, 2024 at Phnom Penh

# Thank you







Cancer Center Lao PDR Est. 2017





ASEAN

IVO





**คณะแพทยศาสตร์ มหาวิทยาลัยงอนแก่น** FACULTY OF MEDICINE KHON KAEN UNIVERSITY





#### <u>Note 1:</u>

- 1. The number of slides should be around 15 total.
- 2. The size of your PowerPoint PDF should be no more than 10MB.
- 3. Please follow the format starting from the next slide.
- 4. Please delete the first slide (this slide) and upload to the website.

#### Note 2:

- 1. You may submit three additional supporting files for a maximum of four files total.
- 2. Each additional file should be no more than 130MB.
- 3. Any supporting materials you submit must be saved as PDFs, where possible. This includes PowerPoint presentations, Word documents and Excel spreadsheets. Any audio you submit should be in mp3 format and any video you submit should be in mp4 or mov format.

#### <u>Note 3:</u>

- 1. A website for "Submission" is open from September 1, 2024. (https://naivo.org/index.php/2024project/papers/instruction)
- 2. The website for uploading presentation files will close on October 21, 2024.



# Project management

Sample setting : MD-KKU, Discovery and verify CCA diagnosis : EN-KKU, MD-KKU, NECTEC, BIOTECH



Fig.1 Workspace of project management

November 7, 2024 at Phnom Penh

# Budget plan & purchased plan in the second year

Institution	Equipment's & Chemical
MD-KKU	<ol> <li>Early biomarker for CCA: Animal sample; verification of proteomic results in the serum and tissue of animal and patients; Raman &amp; data analysis in human samples, test tube, storage box, Eppendorf, autopipette tip, reagent for Raman, std protein, antibody, Chemical and reagent for H&amp;E</li> <li>Collection of various samples from the patients: healthy, BBD, CCA, and other cancers</li> <li>Evaluation of electrochemical &amp; photonic sensor in the patients compared with std method (ELISA &amp; WB); reagent and chemical, WB reagent and chemical</li> </ol>
EN-KKU	SERS & AI development for CCA diagnosis
NECTEC	SERS device & data analysis in human samples
BIOTEC	Proteomic technique & data analysis in human samples
CMU-TEMEC	<ol> <li>Student internship (up to 3 months) at NICT to train for the design, fabrication, and measurement of photonic ship</li> <li>Staff visit to NICT</li> </ol>
Lao PDR	Surgery & pathology team management, samples collection, test tube, storage box, Eppendorf, autopipette tip
MD-KKU, EN-KKU,	Meeting and conference: transport, registration, allowance, etc.,
NECTEC, BIOTEC,	1. Face-to-face project meeting at Bangkok (Tentative date Nov 9, 2023, co-location with
NICT	2 Conference or paper publication fee